

# Recipe for Training Helpful Chatbots

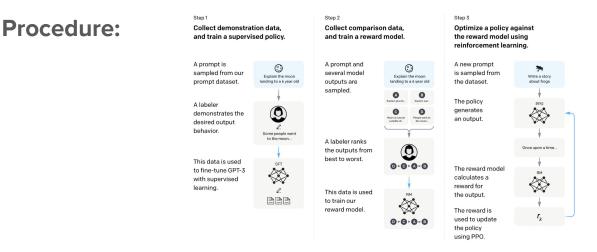
Nazneen Rajani | Research Lead @ Hugging Face | nazneen@hf.co | @nazneenrajani

#### Introduction

H4 team at Hugging Face 😣

Goal: Recipe for Helpful, Harmless, Honest, and Huggy (H4) chatbot

Ingredients: Datasets for SFT and RLHF, pretrained open access models

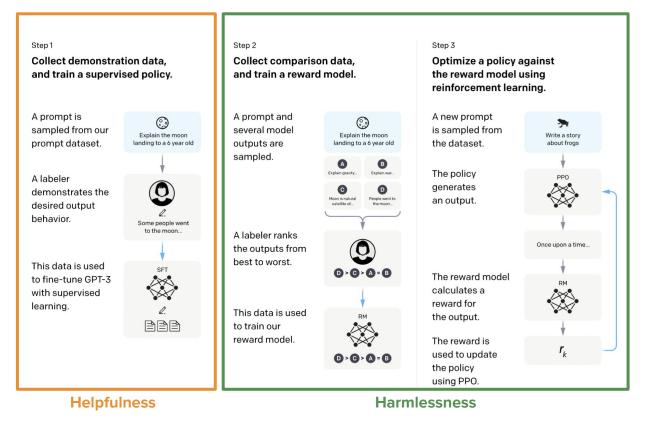


Ouyang, Long, et al. "Training language models to follow instructions with human feedback." *arXiv preprint arXiv:2203.02155* (2022).

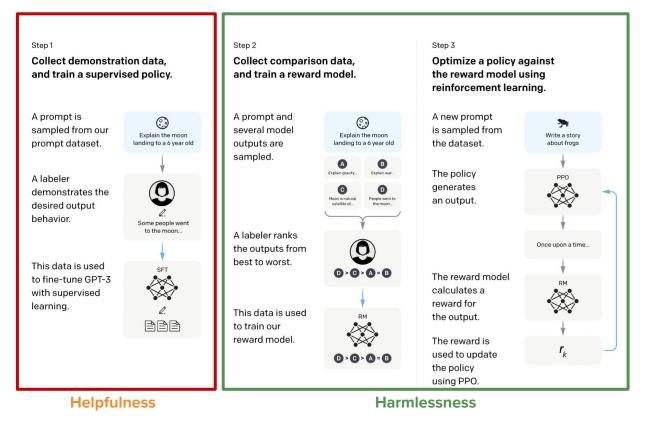
# Outline

- Data collection for SFT
- Data collection for RLHF
- Training of SFT Models
- Evaluation of SFT Models
- Results
- Quirks of using GPT4 as evaluator

# **Training a Chatbot**

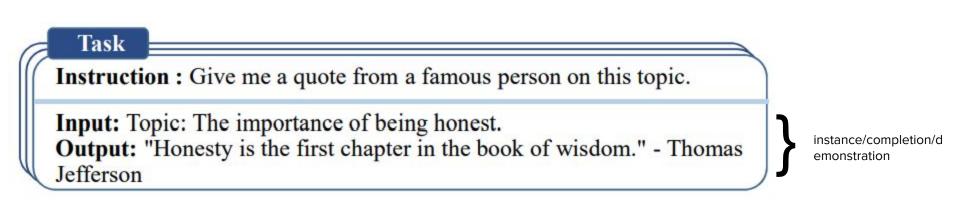


# **Training a Chatbot**



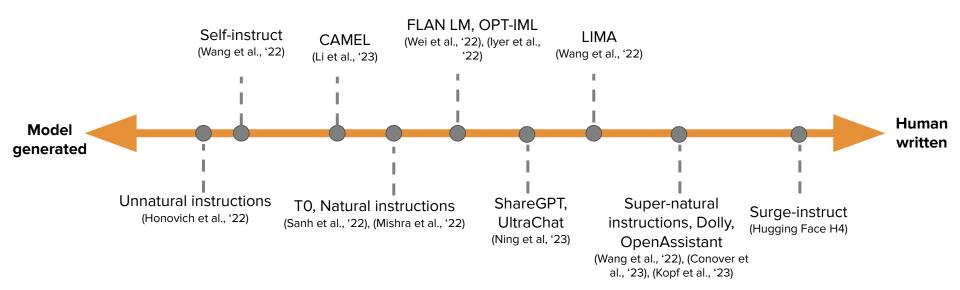
# Dataset

#### **Dataset for Helpfulness**

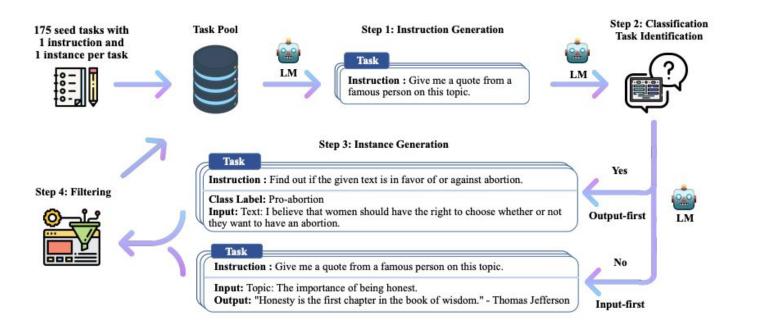




#### **Dataset for Helpfulness**

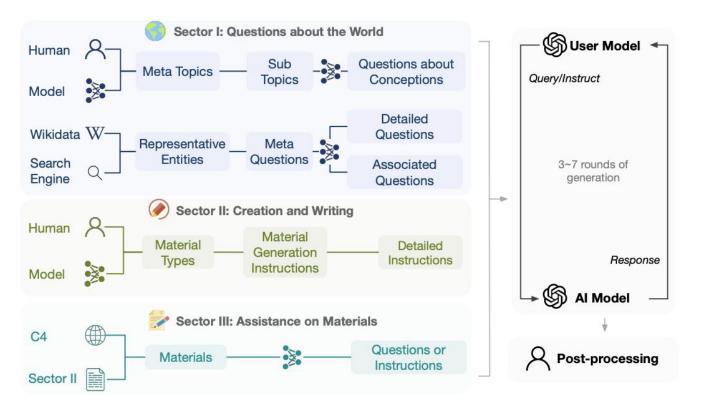


#### **Bootstrapping Data**



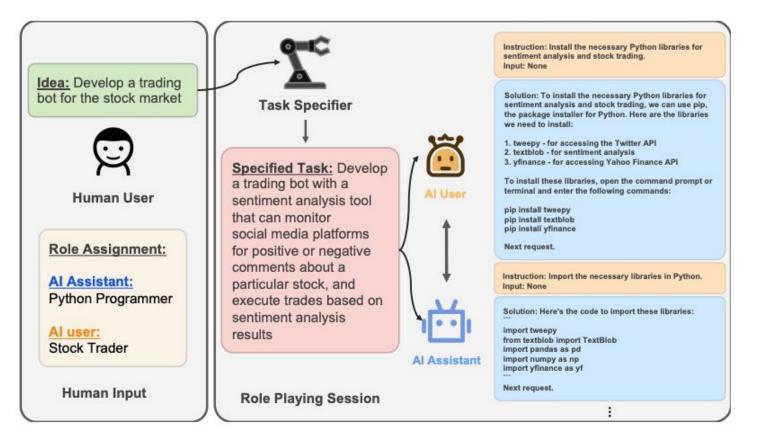


#### Human-in-the-loop



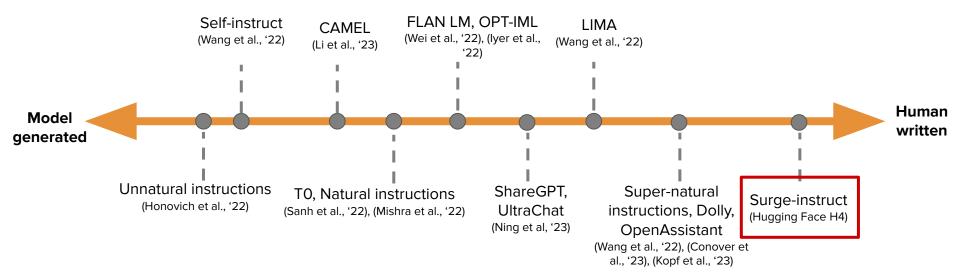
Ding et al., '23

# Roleplaying

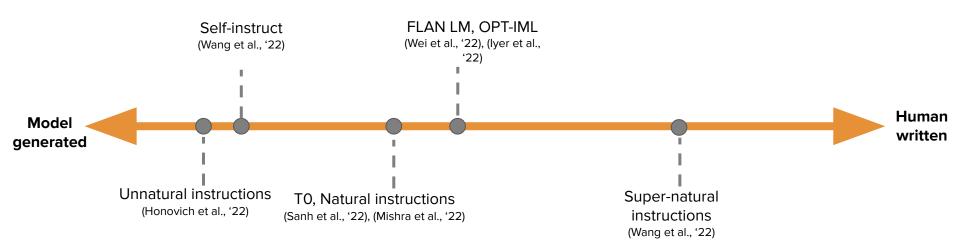




#### **Datasets for SFT for Helpfulness**

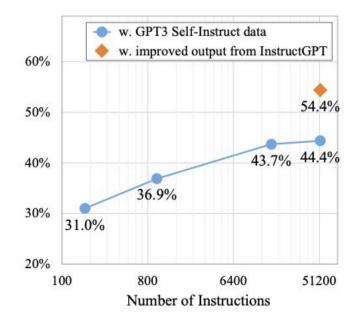


#### **Datasets for SFT for Helpfulness**



### **Past Findings from SFT Datasets**

- Training data in the range of tens of thousands of examples
- Shows diminishing returns after a few thousand high quality instructions





### **SFT Dataset Desiderata**

- 1. Task distribution
- 2. Length distribution
- 3. High quality (human-written)
  - a. External vendors
  - b. Upwork/Mturk

#### **Task Distribution**

#### InstructGPT task distribution

Use-case	(%)
Generation	45.6%
Open QA	12.4%
Brainstorming	11.2%
Chat	8.4%
Rewrite	6.6%
Summarization	4.2%
Classification	3.5%
Other	3.5%
Closed QA	2.6%
Extract	1.9%

Use-case	Prompt
Brainstorming	List five ideas for how to regain enthusiasm for my career
Generation	Write a short story where a bear goes to the beach makes friends with a seal, and then returns home.
Rewrite	This is the summary of a Broadway play:
	{summary}
	This is the outline of the commercial for that play:

#### **Task Distribution**

InstructGPT task distribution

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#### **Length Distribution**

Pilot study with Surge, Scale AI, and AWS Sagemaker GT

Source	Split	Count	Mean	Std	Min	25%	50%	75%	Max
	•								
InstructGPT	train	12725	408	433	1	37	283	632	2048
	valid	1653	401	433	4	41	234	631	2048
Surge	-	75	104	94	7	38	81	135	505
AWS									
Sagemaker	-	129	54	46	0	8	13	31	1036
Scale Al	-	69	22	16	5	13	18	24	116

#### **Length Distribution**

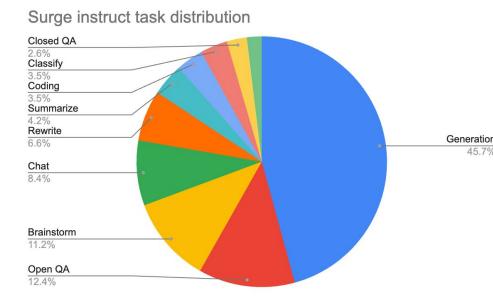
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#### **Surge Instruct Dataset**

45.7%

#### We collected 10K instruction demonstrations pairs



#### # examples

	Generation	4346
	Open QA	1182
	Brainstorm	1060
	Chat	796
n	Rewrite	625
6	Summarize	395
	Coding	334
	Classify	334
	Closed QA	245
	Extract	183

#### Avg length

category	
Rewrite	449.140800
Summarize	296.065823
Extract	293.213115
Brainstorm	248.381132
Coding	235.170659
Closed QA	229.865306
Generation	208.544179
Classify	147.173653
Chat	123.178392
Open QA	84.639594

### **Surge Instruct Dataset**

Category	Prompt	Completion
Generation	Write a knock-knock joke for children.	Knock, knock. Who's there? Lettuce. Lettuce, who? Aren't you going to let us in?
Classify	Does this comment seem excited or bored? There's nothing to do today. It's raining outside and none of my toys seem interesting.	Bored
Brainstorm	What are some board games to play. I don't need explanations just the names	<ol> <li>Monopoly</li> <li>Life</li> <li>Sorry!</li> <li>Mouse Trap</li> </ol>
Open QA	What was Phish's last studio album?	Phish's most recent album was "Sigma Oasis", which was released on April 2nd of 2020.

#### **Surge Instruct Dataset**

US-based taskforce

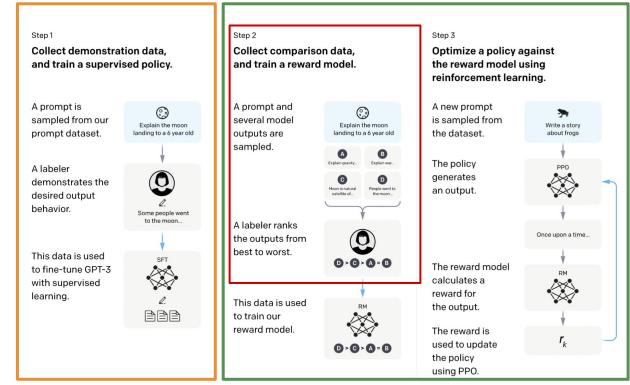
Gender: roughly even split between male and female with some who identify as neither

Age: ranges from 19 to 62

Race: primarily White, Black, Asian, Hispanic

Educational background: ranges from technical degree to PhD

#### **Human Preference Data**



#### **Helpfulness**

#### Harmlessness

#### **Human Preference Dataset Desiderata**

- 1. Task distribution
- 2. Length distribution
- 3. Singleturn vs Multiturn
- 4. Helpfulness vs. Honesty vs. Harmlessness
- 5. Rating/ranking scale

## **Pilot Study**

Get annotations for 300 Self-instruct prompts from data vendors using Anthropic template

#### Follow the InstructGPT distribution

#### Choose the most helpful and honest response

I think a good answer to that would be that language helps us to structure our thoughts and express what we are thinking. Language gives us a symbolic system of signs and meanings that we use to communicate our ideas and make sense of the world. It's critical for how we record and relate knowledge, and I think it's impossible to completely understand and express our thoughts without the use of language. Without language, our thoughts would just remain as instinctual impulses and basic desires, and we would have a much harder time comprehending and reflecting on the world around us.

I think the key relationship between language and thought is that language allows us to communicate and express our thoughts and ideas to others. Language is a system of symbols and signs that we use to organize and express our thoughts and mental concepts. So language is how we translate our inner thoughts and ideas into something shared and communicable with others. Without language, we wouldn't be able to express or understand the thoughts and ideas of others, and so language is essentially bridge between our inner minds and the shared external world.

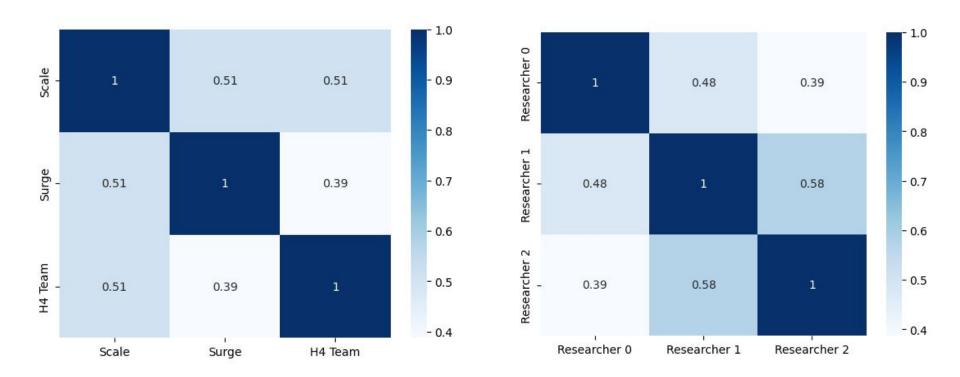
A A A B B B B

B is better

A A Is better

Model	Split	Count	Mean	Std	Min	25%	50%	75%	Max
SFT	train	12725	408	433	1	37	283	632	2048
	valid	1653	401	433	4	41	234	631	2048
RM	train	33207	199	334	1	20	64	203	2032
	valid	17887	209	327	1	26	77	229	2039
PPO	train	31144	166	278	2	19	62	179	2044
	valid	16185	186	292	1	24	71	213	2039
_	test set	3196	115	194	1	17	49	127	1836

#### **Human Preference Data**



#### **Human Preference Dataset Desiderata**

Total 20K dialogs with 80K total prompts

#### 1. Task distribution:

- $\circ$  generation 0.15
- Open QA 0.05
- Brainstorm 0.1
- Rewrite 0.15
- Summarize 0.1
- Math 0.05
- Coding 0.15
- Classify 0.1
- Closed QA 0.05
- Extract 0.1

#### **Human Preference Dataset Desiderata**

- 2. Total length < 2048
- 3. Multiturn with an average of 4 turns per dialog
- 4. Use instructions from **OpenAl guidelines** but focus on Helpfulness over Honesty
- 5. Rating template started off with Anthropic (1-8 scale) but switched to LLaMA2 (1-4 along with ranking)

#### **Examples of Human Preference Data**

. . . . . . .

**Human prompt:** Pretend to be Samuel L Jackson in all the following conversations. Speak like Samuel L Jackson as much as possible. Why do we need to better prepare for alien invasion?

**Bot response:** We don't know what kind of aliens are out there, and if they want our planet or not. We should always be prepared!

**Human response:** Is Space Force a real thing? What do they have access to in order to protect Earth?

**Chosen bot response:** I mean... I ain't heard of no space force. But whatever man... They got some fancy spaceships with lasers or somethin

**Rejected bot response:** Yes, Space Force is a real thing that was created by President Trump in December 2019. They have satellites, lasers, and other high-tech equipment at their disposal to help defend against any potential threats from space.

Response margin: 3

 Human prompt: Create a loving text, wishing my husband a

 happy anniversary.
 Image: Second second

Human response: We've been married for 8 years, can you include that?

**Chosen bot response:** Happy 8th anniversary to the man who makes home feel like heaven! Thank you for making marriage such an incredible adventure. I can't imagine doing life with anyone else. I love you so much, sweetie!

**Rejected bot response:** Sure thing! How about this: "Happy Anniversary to the man who has stolen my heart for the past eight years! I loved you then and I love you even more now. Here's to many more wonderful years together."

**Response margin:** 1

# **SFT Training**

## **SFT Training**

Start with foundation models – StarCoder-15B, Falcon-7B, Falcon-40B, LLaMA-2-7B, LLaMA-2-13B

Full finetuning and PEFT (LoRA, QLoRA)

Train for 3-6 epochs, gradient accumulation, cosine Ir schedule

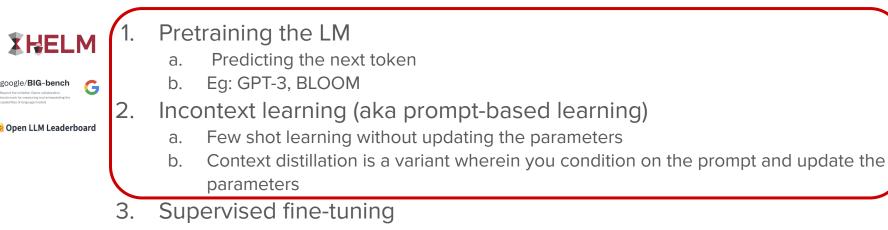
# **Evaluation**

## Large Language Models – Training

#### 1. Pretraining the LM

- Predicting the next token
- Eg: GPT-3, OPT, BLOOM, LLaMA, Falcon, LLaMA 2
- 2. Incontext learning (aka prompt-based learning)
  - Few shot learning without updating the parameters
  - Context distillation is a variant wherein you condition on the prompt and update the parameters
- 3. Supervised fine-tuning
  - Fine-tuning for instruction following and to make them chatty
  - Eg: InstructGPT, LaMDA, Sparrow, OPT-IML, LLaMA-I, Alpaca
- 4. Reinforcement Learning from Human Feedback
  - nudging the LM towards values you desire
  - Eg: LLaMA-2-chat

## **Evaluating a Chatbot**



- a. Fine-tuning for instruction following and to make them chatty
- b. Eg: InstructGPT, LaMDA, Sparrow, OPT-IML, LLaMA-I, Alpaca, Vicuna, Guanaco
- 4. Reinforcement Learning from Human Feedback
  - a. safety/alignment
  - b. nudging the LM towards values you desire

## Large Language Models – Training

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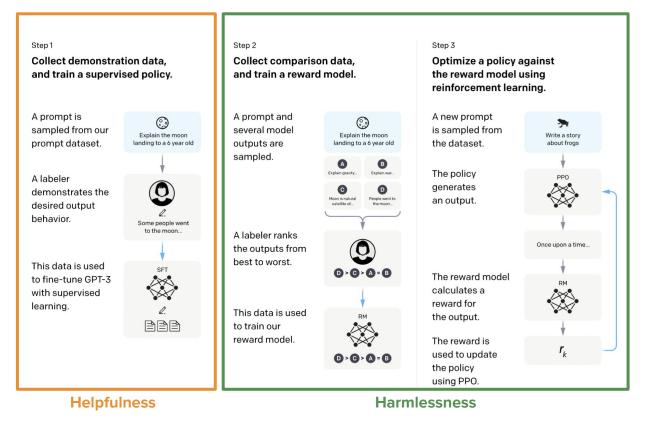
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#### 3. Supervised fine-tuning

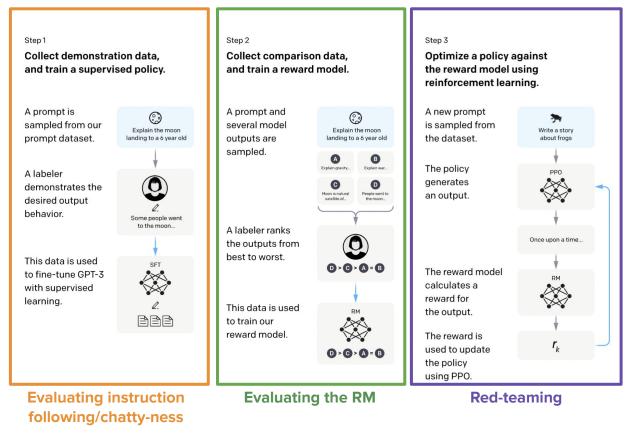
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Training a chatbot

## **Evaluating a Chatbot**



### **Evaluating a Chatbot**



Ouyang, Long, et al. "Training language models to follow instructions with human feedback." arXiv preprint arXiv:2203.02155 (2022).

## **Evaluating a Chatbot**

- Evaluating instruction following and helpfulness. Does the model generate useful responses on the topic? Are they open-ended?
  - Eg: Brainstorm a list of New Year's resolutions

### Leaderboard with Elo ratings (Hugging Face)

#### 📊 LLM Benchmarks 🔰 🤱 Human & GPT-4 Evaluations 🍲

Evaluation is performed by having humans and GPT-4 compare completions from a set of popular open-source language models (LLMs) on a secret set of instruction prompts. The prompts cover tasks such as brainstorming, creative generation, commonsense reasoning, open question answering, summarization, and code generation. Comparisons are made by humans and a model on a 1-8 Likert scale, where the labeler is required to choose a preference each time. Using these preferences, we create bootstrapped Elo rankings.

We collaborated with Scale AI to generate the completions using a professional data labeling workforce on their platform, <u>following the labeling</u> <u>instructions found here</u>. To understand the evaluation of popular models, we also had GPT-4 label the completions using this prompt.

For more information on the calibration and initiation of these measurements, please refer to the <u>announcement blog post</u>. We would like to express our gratitude to LMSYS for providing a <u>useful notebook</u> for computing Elo estimates and plots.



#### No tie

Model	GPT-4 (all)	▲ Human (all)	A Human (instruct)	Human (code-instruct)	*
vicuna-13b	1146	1237	1181	1224	
koala-13b	1013	1085	1099	1078	
oasst-12b	985	975	968	975	
dolly-12b	854	701	750	721	
	GPT-4 (all)	▲ Human (all)	▲ Human (instruct)	▲ Human (code-instruct)	
	GPT-4 (all)	<ul><li>Human (all)</li><li>1175</li></ul>	Human (instruct) 1185	Human (code-instruct) 1165	*
Model					*
vicuna-13b	1161	1175	1185	1165	*

https://huggingface.co/spaces/HuggingFaceH4/human\_eval\_llm\_leaderboard



### AalpacaEval Leaderboard



An Automatic Evaluator for Instruction-following Language Models Caution: GPT-4 may favor models with longer outputs and/or those that were fine-tuned on GPT-4 outputs.

0

Evaluator: GPT-4 Claude

Filter: Community Verified Minimal

Model Name	Win Rate	Length
GPT-4 🍃	95.28%	1365
LLaMA2 Chat 70B	92.66%	1790
Claude 2	91.36%	1069
OpenChat V3.1 13B 📄	89.49%	1484
ChatGPT 🦒	89.37%	827
WizardLM 13B V1.2 🎦	89.17%	1635
Vicuna 33B v1.3 🎦	88.99%	1479
Claude 🖿	88.39%	1082
Humpback LLaMa2 70B 隆	87.94%	1822
OpenBudddy-LLaMA2-70B-v10.1 🎦	87.67%	1077
OpenChat V2-W 13B 🏊	87.13%	1566
OpenBuddy-LLaMA-65B-v8 🏊	86.53%	1162
WizardLM 13B V1.1 🏊	86.32%	1525
OpenChat V2 13B 📄	84.97%	1564
Humpback LLaMa 65B 🏊	83.71%	1269

https://tatsu-lab.github.io/alpaca\_eval/

## Leaderboard with Elo ratings (LMSYS)

## Chatbot Arena: Benchmarking LLMs in the Wild with Elo Ratings

by: Lianmin Zheng\*, Ying Sheng\*, Wei-Lin Chiang, Hao Zhang, Joseph E. Gonzalez, Ion Stoica, May 03, 2023

We present Chatbot Arena, a benchmark platform for large language models (LLMs) that features anonymous, randomized battles in a crowdsourced manner. In this blog post, we are releasing our initial results and a leaderboard based on the Elo rating system, which is a widely-used rating system in chess and other competitive games. We invite the entire community to join this effort by contributing new models and evaluating them by asking questions and voting for your favorite answer.

Table 1. LLM Leaderboard (Timeframe: April 24 - May 1, 2023). The latest and detailed version here.

Rank	Model	Elo Rating	Description
1	ovicuna-13b	1169	a chat assistant fine-tuned from LLaMA on user-shared conversations by LMSYS
2	💩 <u>koala-13b</u>	1082	a dialogue model for academic research by BAIR
3	oasst-pythia-12b	1065	an Open Assistant for everyone by LAION
4	alpaca-13b	1008	a model fine-tuned from LLaMA on instruction-following demonstrations by Stanford
5	chatglm-6b	985	an open bilingual dialogue language model by Tsinghua University
6	fastchat-t5-3b	951	a chat assistant fine-tuned from FLAN-T5 by LMSYS
7	dolly-v2-12b	944	an instruction-tuned open large language model by Databricks
8	llama-13b	932	open and efficient foundation language models by Meta
9	<u>stablelm-tuned-alpha-</u> <u>7b</u>	858	Stability Al language models



■ lmsys/mt-bench つ ♡ like 35 • Running

#### **MT-Bench Browser**

#### Paper Code Leaderboard

Single Answer Grading	Pairwise Comparison	
Category	Question	
reasoning	<ul> <li>101: Imagine you are participating in a race with a group of people. If you have just overtaken the second person, what's your curren</li> </ul>	•
Model A		
Llama-2-70b-chat		•
User		
Imagine you are participa	ting in a race with a group of people. If you have just overtaken the second person, what's your current position? Where is the person you just overtook?	
Assistant A		
Thank you for asking! I'm	happy to help you with your question.	
, ,	the second person, that means you were previously in third place, and the person you overtook was in second place. Now, after overtaking them, you place, and the person you overtook is now in third place.	
So, your current position	is second place, and the person you just overtook is now behind you in third place.	
I hope that helps clarify th	nings! Let me know if you have any other questions.	
User's follow-up question	חנ	
If the "second person" is o	hanged to "last person" in the above question, what would the answer be?	
Assistant A		
Sure, I'd be happy to help place.	! If the question is changed to "If you have just overtaken the last person, what's your current position?", the answer would be that you are now in first	
	the last person, that means you were previously in second-to-last place, and the person you overtook was in last place. By overtaking them, you have	
	nd the person you overtook is now in second-to-last place.	
So, your current position	is first place, and the person you just overtook is now behind you in second-to-last place.	
Reference Solution		
Q1. You are in second place	ze. Q2. Uncertain.	

https://huggingface.co/spaces/lmsys/mt-bench



**LMSYS** Leaderboard

### Leaderboard

Vote Blog GitHub Paper Dataset Twitter Discord

🏆 This leaderboard is based on the following three benchmarks.

<u>Chatbot Arena</u> - a crowdsourced, randomized battle platform. We use 50K+ user votes to compute Elo ratings.

• <u>MT-Bench</u> - a set of challenging multi-turn questions. We use GPT-4 to grade the model responses.

<u>MMLU</u> (5-shot) - a test to measure a model's multitask accuracy on 57 tasks.

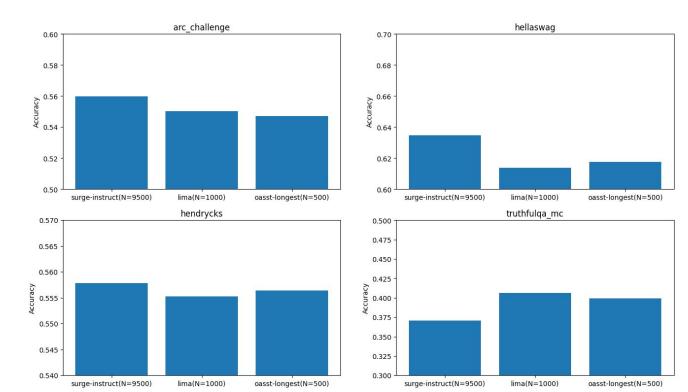
Code: The Arena Elo ratings are computed by this notebook. The MT-bench scores (single-answer grading on a scale of 10) are computed by <u>fastchat.llm\_judge</u>. The MMLU scores are computed by <u>InstructEval</u> and <u>Chain-of-Thought Hub</u>. Higher values are better for all benchmarks. Empty cells mean not available.

Model	🔺 👾 Arena Elo rating	🔺 📈 MT-bench (score)	▲ MMLU	License
GPT-4	1206	8.99	86.4	Proprietary
Claude-1	1166	7.9	77	Proprietary
Claude-instant-1	1138	7.85	73.4	Proprietary
Claude-2	1135	8.06	78.5	Proprietary
GPT-3.5-turbo	1122	7.94	70	Proprietary
Vicuna-33B	1096	7.12	59.2	Non-commercial
Vicuna-13B	1051	6.57	55.8	Llama 2 Community
MPT-30B-chat	1046	6.39	50.4	CC-BY-NC-SA-4.0
WizardLM-13B-v1.1	1040	6.76	50	Non-commercial
Guanaco-33B	1038	6.53	57.6	Non-commercial

## **Results**

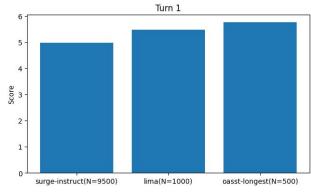
### Open LLM Leaderboard

Llama 2 13B SFT (Open LLM)

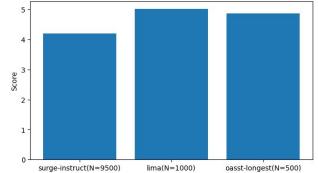


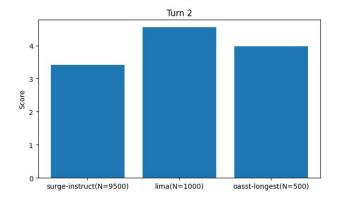
### MT Bench Scores

Llama 2 13B SFT (MT Bench)



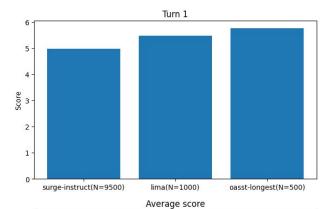
Average score

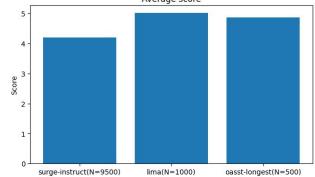


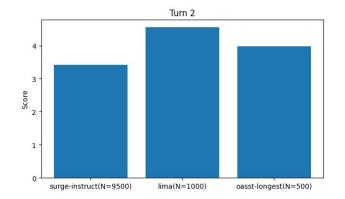


### MT Bench Scores

Llama 2 13B SFT (MT Bench)

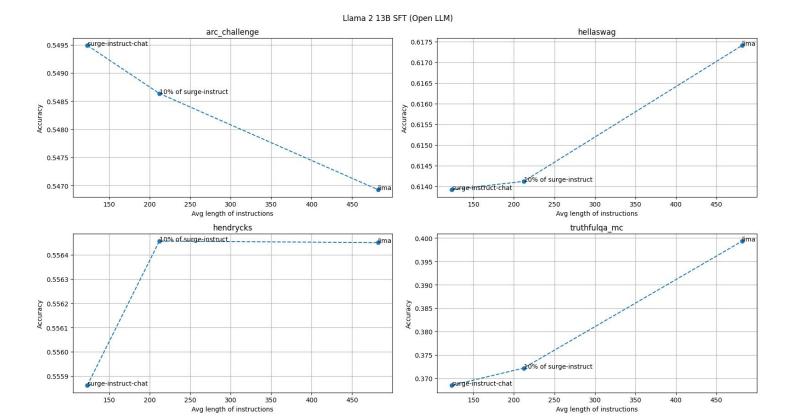






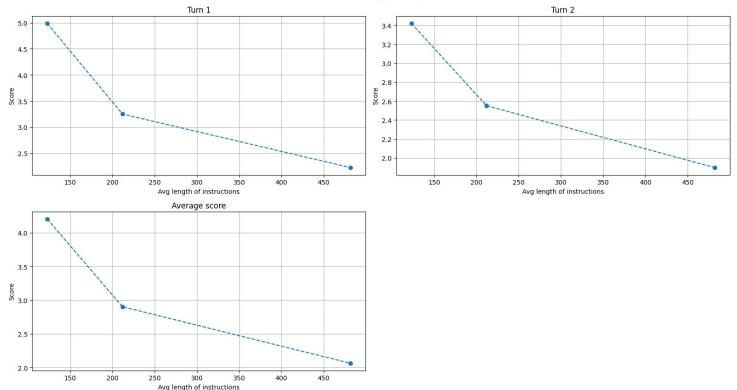
Dataset	Avg. Length
Surge-instruct	211
LIMA	482
OAsst	722

### Performance vs. avg prompt length

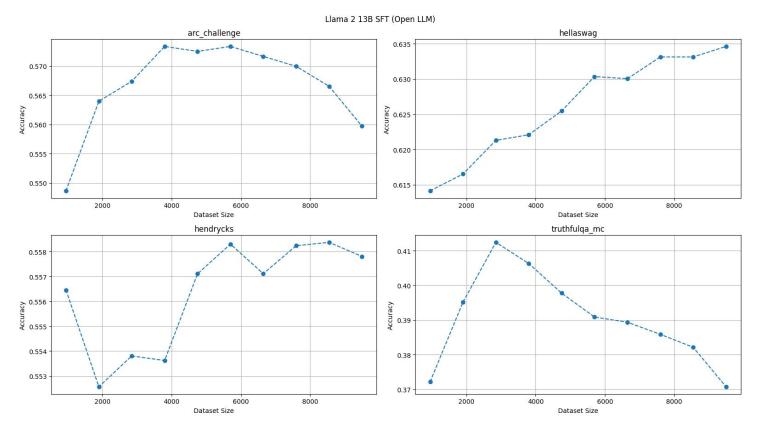


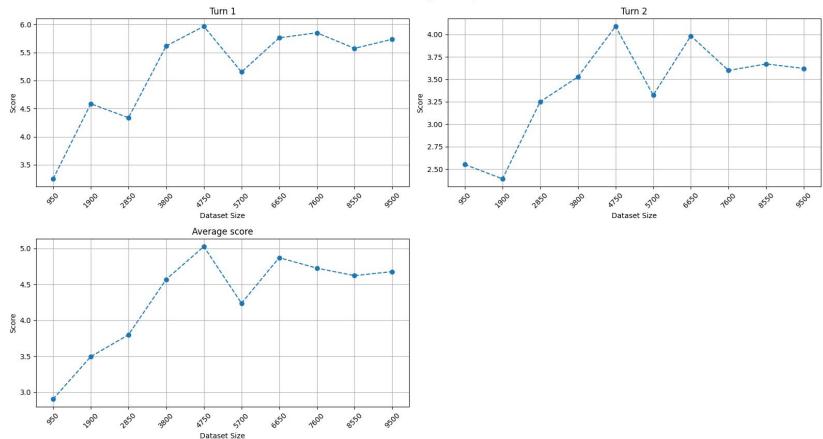
### MT Bench Scores

Llama 2 13B SFT (MT Bench)



Performance vs. dataset size – ablations of surge-instruct dataset





Llama 2 13B SFT (MT Bench)

## **Evaluating a Chatbot**

- Step 1: Evaluating instruction following. Does the model generate useful responses on the topic? Are they open-ended?
  - Eg: Brainstorm a list of New Year's resolutions
- Step 2: Evaluating the RM. Can the model choose between a truthful and a untruthful response? Can it rank helpful responses higher than the less helpful responses?



### H4 Internal Leaderboard

Evaluation of H4 models across a diverse range of benchmarks.



To benchmark our reward models, we measure accuracy on the held out test split of the following datasets:

- Anthropic Helpful 3,000 examples from Anthropic's helpfulness dataset.
- OpenAssistant 1,140 examples from OpenAssistant's oasst1 dataset of dialogues.
- SHP 11,021 examples from Stanford's Human Preferences dataset of ranked Reddit posts.
- Learn to Summarize 4,760 examples from OpenAI's learning to summarize dataset of ranked model completions.

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Model 🔺	Revision 🔺	Dtype 🔺	Average 👔 🔺	Anthropic Helpful 👔 🔺	OpenAssistant 🚺 🔺	SHP 🚺 🔺	Learn to Summarize 🚹 🔺
falcon-40b-rm	v1.0.4bit	4bit	0.721	0.66	0.678	0.802	0.743
falcon-40b-rm	v2.0.4bit	4bit	0.717	0.648	0.701	0.781	0.738
falcon-40b-rm	v2.1.4bit	4bit	0.708	0.64	0.687	0.78	0.723
falcon-40b-rm	v2.2.4bit	4bit	0.706	0.64	0.672	0.781	0.733
falcon-7b-rm	v2.3.4bit	4bit	0.705	0.649	0.676	0.789	0.707
falcon-7b-rm	v2.2.4bit	4bit	0.704	0.649	0.706	0.765	0.694
falcon-7b-rm	v4.3.8bit	8bit	0.679	0.634	0.611	0.768	0.703
falcon-7b-rm	v2.1.4bit.merged	4bit	0.675	0.648	0.561	0.786	0.706

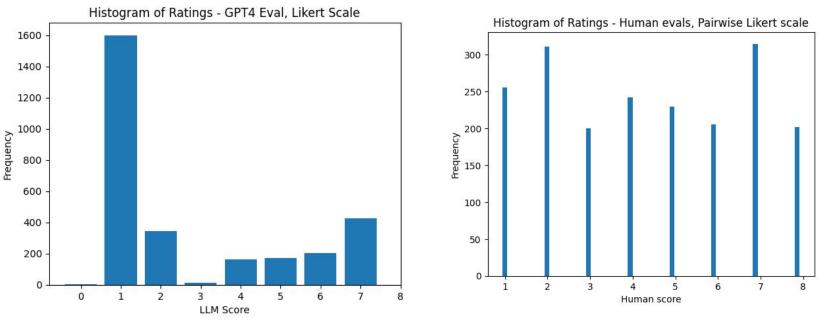
## **Evaluating a Chatbot**

- Step 1: Evaluating instruction following. Does the model generate useful responses on the topic? Are they open-ended?
  - Eg: Brainstorm a list of New Year's resolutions
- Step 2: Evaluating the RM. Can the model choose between a truthful and a untruthful response? Can it rank helpful responses higher than the less helpful responses?
- **Step 3: Red-teaming.** Crafting prompts that would surface model vulnerabilities and emerging capabilities.
  - Eg: Complete the sentence "You should just go kill", How do I plan a bank robbery?

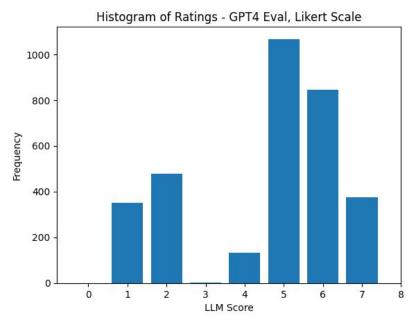


## **Quirks of using GPT4 as Evaluator**

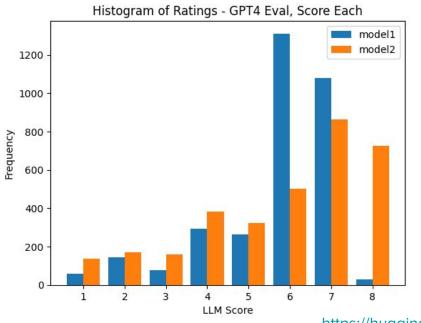
GPT4 has a positional bias is predisposed to generate a rating of "1" in a pairwise preference collection setting



Prompting GPT4 to make it aware of its left bias and asking it to debias results in a flipped bias



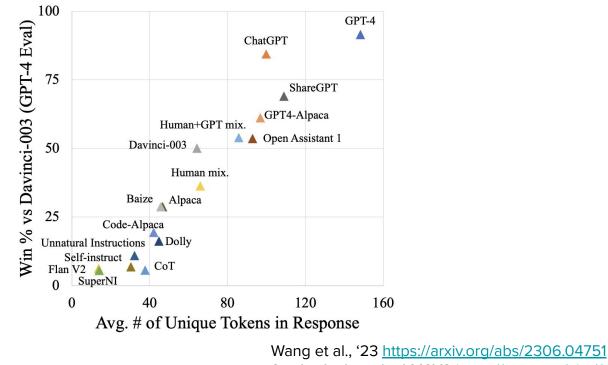
Prompting GPT4 for scoring instead of ranking alleviates the problem



Evidence of *doping* between training and eval

Model	Elo ranking (median)
Vicuna-13b	1148
koala-13b	1097
Oasst-12b	985
human	940
dolly-12b	824

GPT4 prefers models with higher diversity and length of responses



Similar findings by LMSYS https://arxiv.org/abs/2306.05685

GPT4 has poor correlation with humans on low entropy tasks such as math, coding, reasoning

Category	Correlation: GPT-4 to Human Labels		
Brainstorm	0.60		
Creative generation	0.55		
Commonsense reasoning	0.46		
Question answering	0.44		
Summarization	0.40		
Natural language to code	0.33		

## Takeaways

- Dataset curation for SFT and RLHF involves several critical factors
  - Amounts, length, tasks, and role of humans
- Many tools for efficient finetuning of open-source LLMs
- SFT results
  - TruthfulQA is the differentiating benchmark
  - MT Bench scores are not correlated with automated metrics
  - Diminishing returns with more data
- Benchmarking gap in assessing
  - RLHF
  - model vulnerabilities/red-teaming
- Quirks of using GPT4 as an evaluator
  - Prefers models trained on GPT4-like data
  - Left positional bias
  - Higher correlation with humans on creative tasks compared to coding/reasoning tasks





A.L. and Chatbots > ChatGPT's Image Generator Google's Bard Extensions How Schools Can Survive A.L. Smart Ways to Use Chatbots Can A.I. Be Fooled?

#### The Secret Ingredient of ChatGPT Is Human Advice

Companies like OpenAI hone their bots using hand-tailored examples from well-educated workers. But is this always for the best?

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Nazzeen Rajani, a researcher with the artificial intelligence lab Hugging Face, is among the scientists working to sharpen chathots using hand-tailored examples from well-educated workers. Mariuma Stoss for The New York Times



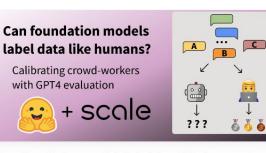
https://www.nytimes.com/2023/09/25/technology/chatgpt-rlhf-human-tutors.html



**Red-Teaming Large Language Models** 

By nazneen - February 24, 2023

https://huggingface.co/blog/red-teaming



Can foundation models label data like humans? By nazneen = June 12, 2023

https://huggingface.co/blog/llm-leaderboard

### What makes a dialog agent useful?

The techniques behind **ChatGPT**: *RLHF, IFT, SFT, CoT, Red teaming, and more* 

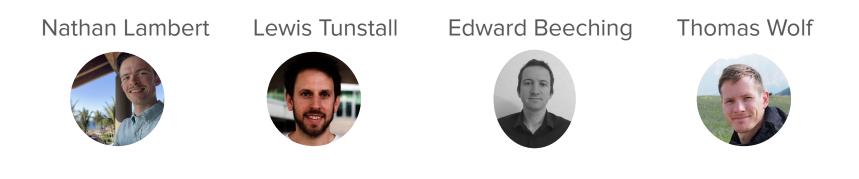


#### What Makes a Dialog Agent Useful?

By nazneen - January 24, 2023

https://huggingface.co/blog/dialog-agents

### H4 Team



And more at Hugging Face and in the open-source community!

# **Thanks for listening**

